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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Van Ostrand

Group Art Unit: 2124

Serial No.: 10/824,098

Examiner: Gandhi, Jayprakash N.

Filing Date: April 14, 2004

For: FAILURE MODE FOR HVAC SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PTO form 1449 is submitted herewith pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above-identified application. None of these references discloses the invention claimed in the subject application. Also enclosed is the PCT International Search Report.

Pursuant to 37 C.F.R. 1.97(e)(1), each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. Applicant respectfully requests the Examiner to consider the enclosed references on PTO-1449 submitted herewith.

Should there be a fee associated with this document, please charge it to Deposit Account No. 50-1482, in the name of Carlson, Gaskey & Olds, P.C.

Respectfully submitted,

CARLSON, GASKEY & OLDS, P.C.

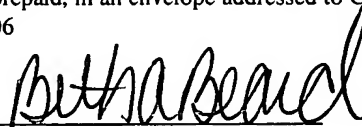


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Dated: September 8, 2006

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the attached Supplemental IDS, References Cited, Form PTO-1449 and Search Report are being deposited with the U.S. Postal Service as First Class Mailing, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2006



Beth A. Beard



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/824,098
Filing Date	4/14/2004
First Named Inventor	Van Ostrand
Art Unit	2125
Examiner Name	Gandhi, Jayprakash N
Attorney Docket Number	60246-336;10884

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,414,818	11-15-1983	Turbard et al.	
		US- 4,517,810	05-21-1985	Foley et al.	
		US- 5,348,077	09-20-1994	Hillman	
		US- 6,427,454	08-06-2002	West	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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